

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Weber *et al.*

Appl. No. 10/753,069

Filed: January 8, 2004

For: Systems and Methods for Trading
Actively Managed Funds

Confirmation No.: 5428

Art Unit: 3695

Examiner: Pollock

Atty. Docket: 00322.0008.CPUS01

Customer No.: 22930

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR § 1.56, 1.97 AND 1.98

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on attached Form PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

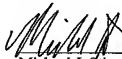
It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 and indicate in the official file wrapper of this patent application that this document has been considered.

Applicant believes no fees are due in connection with this transmittal. However, if any fees are in fact due, the Commissioner is hereby authorized to charge our Deposit Account No. 08-3038. Kindly make reference to Howrey Docket No. 00322.0008.CPUS03 when debiting this account.

Applicants respectfully request that the listed document be made of record in the present case.

Date: October 8, 2010

Respectfully submitted,



Michael J. Simson (Reg. No. 45,429)

HOWREY LLP
2941 Fairview Park Drive, Ste 200
Falls Church, VA 22042
Fax: (202) 383-7195
Ph: (949) 759-3961 (Pacific Coast)